



CHARACTER/BACKGROUND REFERENCE FORM FOR HAZARDOUS WASTE FACILITY OWNERS, OFFICERS, DIRECTORS, AND PARTNERS

BACKGROUND

Pursuant to ARS § 49-922.C. and A.A.C. R18-8-270.J, the Arizona Department of Environmental Quality (ADEQ) requires that permit applicants and other persons associated with a hazardous waste management facility supply character/background information sufficient to demonstrate their reliability, expertise, integrity, and competence to operate a hazardous waste facility. The attached application supplement shall be provided to ADEQ at the time that a RCRA Part B permit application to treat, store, or dispose of hazardous waste is submitted.

DEFINITIONS

“Applicant” is defined as the corporation, company, partnership, or other entity seeking a hazardous waste facility permit and identified in the permit application.

“Key Employee” is defined as any person employed by the Applicant in a supervisory capacity or empowered to make discretionary decisions with respect to the solid waste or hazardous waste operations of the facility. This definition may include positions such as the plant manager, environmental manager, emergency coordinator(s), and training director(s).

INSTRUCTIONS

- Each of the Applicant’s corporate officers, directors, partners, or persons or business entities which hold ten percent or more of the equity or debt liability of the company must complete a copy of this form as part of the RCRA Part B permit application or as necessary to modify the permit.
- This form must not be used by the “Key Employees” of a hazardous waste facility. If an officer, director, partner, or person holding ten percent or more of the equity or debt liability of the company also meets the definition of a key employee (defined below), the Character/Background Reference Form for Key Employees form must be used.
- All questions must be answered completely. As indicated on the last page of this form, all statements herein are to be made under oath.
- ADEQ may coordinate with other state, federal, and local agencies to verify the information provided herein, as well as to obtain additional information as needed. The Attorney General’s Office may conduct background investigations on any or all of the persons identified in the Applicant’s submittals.
- Additional background or reference information may be submitted if you believe that it will help the ADEQ to render a decision on your application. Additional sheets can be attached as necessary.
- Send completed forms to ADEQ, Hazardous Waste Permits Unit, 1110 W. Washington, Mail Code 4415A-1, Phoenix, Arizona 85007.

CHARACTER/BACKGROUND REFERENCE FORM

1. Full name:

(Full First Name)

(Full Middle Name)

(Last Name)

2. *Date of Birth: _____
(Month) (Day) (Year)

3. *Place of Birth: _____
(City) (State) (County)

4. *Social Security No. _____

5. Location (Address) of Employment:

Business Name: _____

Street and Suite No.: _____

City, State, Zip Code: _____

Telephone No.: _____

6. Have you been convicted of a felony within the last 5 years? Include guilty and nolo contendere (no contest) pleas.

Yes _____ No _____

If "yes", please specify:

a. the court(s) in which the conviction(s) was/were entered (state the name and location)

b. date of each conviction _____

c. original charge(s) _____

d. offense(s) convicted of _____

Information marked with “*” will be held confidential and will not be made available in a public file.

CHARACTER/BACKGROUND REFERENCE FORM

STATE OF _____)

County of _____)

I, _____, having been duly
(full name--printed or typed)

sworn, depose and say that the foregoing information is in all respect true and correct to the best of my knowledge. I understand that providing false information is a felony.

(Signature)

SUBSCRIBED AND SWORN to before me this _____ day of _____, 20_____.

(Notary Public)

My Commission expires:
